

Cyclic monotonically normal spaces from Cantor sets

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Received 24 August 1995; revised 16 February 1996

Abstract

We generalize M.E. Rudin's construction in a geometric way to produce various non-acyclic, monotonically normal spaces from Cantor sets.

Keywords: Monotonically normal space; Perfectly normal space

AMS classification: 54A10; 54D15; 54G20

1. Monotonically normal ternary example

M.E. Rudin [14] constructed a non-acyclic, monotonically normal space using a ternary tree. We will simplify (and generalize in Section 2) her construction in a geometric way. Let (X, τ) be a topological space with a topology τ . Throughout this paper, we assume that all spaces are at least Hausdorff. Let H be an operation defined for every pair (x, U) with $x \in U \in \tau$ such that $H(x, U)$ is an open neighborhood of x included in U . H is called *monotone* if it “respects” the inclusion, that is, $x \in U \subseteq V$ implies $H(x, U) \subseteq H(x, V)$. An obvious example of a monotone operator is the H such that $H(x, U) = U$. A sequence $\{x_i\}_{i < n}$ of distinct points in X is called an n -cycle of H if

$$\bigcap_{i < n} H(x_i, X \setminus \{x_{i-1}\}) \neq \emptyset, \quad \text{where } x_{-1} \text{ means } x_{n-1}.$$

A space X is called *monotonically normal* if it has a monotone operator H without any 2-cycles, that is,

$$H(x, X \setminus \{y\}) \cap H(y, X \setminus \{x\}) = \emptyset$$

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for any distinct two points x, y in X . Further, if X has a monotone operator without any cycles, X is called *acyclic monotonically normal*. For monotonic normality and related topics see [6,8,9]. Let us consider the ternary Cantor set $3^\omega = \{0, 1, 2\}^\omega$. Let $P = 3^{<\omega} = \bigcup \{3^n : n \in \omega\}$ be the set of all finite sequences of 0, 1, 2. For each $s \in P$, put $B(s) = \{x \in 3^\omega : s \subset x\}$ and $L(s) = B(s^+)$ where $s^+ = t^\wedge(i+1)$ if $s = t^\wedge i$. Here $+$ means the addition modulo 3, and $t^\wedge j$ denotes $t \cup \{(n, j)\}$ if $t \in 3^n$. We make a convention that $L(\emptyset) = \emptyset$. “B” stands for “ball” or “box”, while “L” means the “left” of $B(s)$. For $x \in 3^\omega$ and $s \in P$ with $s \subset x$, define

$$L(x; s) = \{x\} \cup \bigcup \{L(t) : t \in P \text{ and } s \subseteq t \subset x\}, \quad \text{and} \quad L(x) = L(x; \emptyset).$$

The collection

$$\mathbb{L} = \{L(x; s) : x \in 3^\omega, s \in 3^{<\omega} \text{ with } s \subset x\} = \{L(x; x \upharpoonright m) : x \in 3^\omega, m \in \omega\}$$

generates a new topology on 3^ω , which we will denote by $\tau_{3,1}$ (this notation is a special case of $\tau_{p,q}$ in Section 2). The standard Cantor topology on 3^ω generated by $B(s)$ ($s \in P$) is denoted by τ_3 . Note that each $L(x; s)$ is closed with respect to τ_3 and $L(x; s) \setminus \{x\}$ is clopen in $3^\omega \setminus \{x\}$ with respect to τ_3 . Hence the collection \mathbb{L} forms a clopen base for $\tau_{3,1}$. And so, $(3^\omega, \tau_{3,1})$ is a 0-dimensional Hausdorff space. In terms of generalized metric theory, this space is *cometrizable* in the sense that it has a weaker metric topology such that each point has a neighborhood base consisting of sets closed in the metric topology (cf. [7]). The shaded portion of Fig. 1 shows what a neighborhood of the point $x = (0, 1, 2, \dots) \in 3^\omega$ looks like with respect to the topology $\tau_{3,1}$. We note that the space $(3^\omega, \tau_{3,1})$ is the same as the Rudin's example [14] except that her example adds countably many isolated points. For every pair (x, U) with $x \in U \in \tau_{3,1}$ define $H_{3,1}(x, U)$ to be the maximal $L(x; s)$ contained in U . Clearly, this operator $H_{3,1}$ is monotone.

Rudin proved

Theorem 1.0 (Rudin [14]). (1) $H_{3,1}$ does not have any 2-cycles. Hence $(3^\omega, \tau_{3,1})$ is monotonically normal.

(2) Every monotone operator for $(3^\omega, \tau_{3,1})$ has a 3-cycle. Hence $(3^\omega, \tau_{3,1})$ is not acyclic monotonically normal.

For a geometric proof of this theorem, see the special case $p = 3, q = 1$ of Theorems 2.3 and 2.5 below. As for homogeneity, it is easy to check that our space $(3^\omega, \tau_{3,1})$ is homogeneous. We can say more: indeed, every clopen subset is homeomorphic to the whole space. To prove this kind of fact and for also later purpose we introduce the following terminology. A 0-dimensional space Z is called *clopen-homogeneous* if, for every pair of proper clopen subsets U, V of Z there exists an autohomeomorphism of Z which maps U onto V ; note that this is equivalent to say simply that all proper clopen subsets are homeomorphic. In [12] the term “ h -homogeneity” is used for a space Z if every proper clopen subset is homeomorphic to the whole space Z . These two notions coincide for nonpseudocompact spaces as the next lemma shows. The symbol \approx means “is homeomorphic to”.

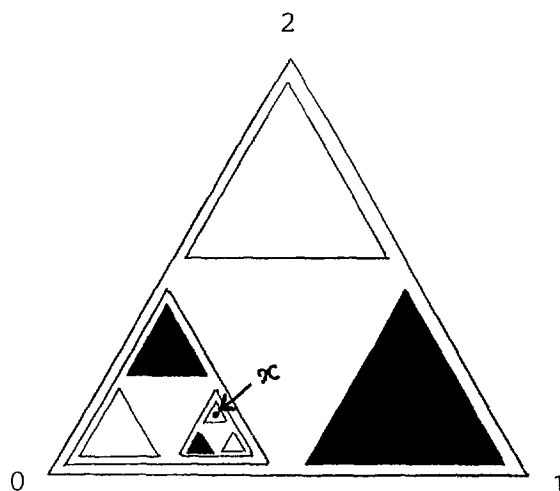


Fig. 1.

Lemma 1.1. *Let Z be a 0-dimensional nonpseudocompact space. Then Z is clopen-homogeneous iff every proper clopen subset is homeomorphic to the whole space $Z \approx Z \times \omega$.*

Proof. We need to prove the “only if” part. Suppose a 0-dimensional nonpseudocompact space Z is clopen-homogeneous. Then Z can be decomposed as $Z \approx U \times \omega$ for some clopen U . Since $U \times \omega$ is decomposed as a topological sum of U and $U \times \omega$, we can see that U and $U \times \omega$ are both proper clopen subset of Z ; hence $U \approx U \times \omega$. Consequently, $Z \approx U$ and $Z \approx U \times \omega \approx Z \times \omega$. \square

Motorov [12] and Terada [17] established the following easy-to-apply criterion for clopen-homogeneity. Note that clopen-homogeneity implies homogeneity if the space is 1st countable. A collection of nonempty open sets is called a π -base if every nonempty open subset of Z contains an element of the collection.

Fact 1.2 (Motorov [12], Terada [17]). *Let Z be a 0-dimensional nonpseudocompact space. If Z has a π -base consisting of clopen sets which are all homeomorphic to Z , then Z is clopen-homogeneous.*

In $X = (3^\omega, \tau_{3,1})$, every neighborhood $L(x; s)$ ($s \neq \emptyset$) contains $L(s) = B(s^+) \approx X$, we can apply the above criterion. Hence $X = (3^\omega, \tau_{3,1})$ is clopen-homogeneous and $X \times \omega \approx X$.

We next observe that our space $X = (3^\omega, \tau_{3,1})$ contains the familiar Sorgenfrey line. To see this, let us consider the Cantor subset C naturally embedded in X as $C = 2^\omega = \{0, 1\}^\omega \subset 3^\omega$. For $x, y \in C$ define the linear order: $x < y$ iff there exists some $m \in \omega$ such that $x \restriction m = y \restriction m$ and $x(m) = 0 < 1 = y(m)$. Put $C_\star = \{x \in 2^\omega: \forall n \in \omega \exists m >$

$n \ x(m) = 0\}$; then $C \setminus C_*$ is a countable set consisting of x which is eventually 1. Let $f: C \rightarrow [0, 1]$ be the standard function $f(x) = \sum_{n \in \omega} x(n)/2^{n+1}$ onto the unit interval. Then $f \upharpoonright C_*$ is an order-isomorphism from C_* onto $[0, 1]$. Hence, if we consider the right half-open interval topology on C_* with respect to $<$, then this space is identical with the Sorgenfrey line $[0, 1)$. Note that, for $x, y \in C_*$,

$$\begin{aligned} x < y & \text{ iff } \exists s \in P \ x \in B(\hat{s}0) \text{ and } y \in B(\hat{s}1) \\ & \text{ iff } \exists s \in P \ y \in L(x; \hat{s}0). \end{aligned}$$

This shows that C_* with the subspace topology of $\tau_{3,1}$ is the Sorgenfrey line. Observe that C_* is closed with respect to $\tau_{3,1}$. Now, for each $i < 3$ put

$$A_i = \{x \in 3^\omega : \forall n \in \omega \ x(n) = i \text{ or } i + 1, \text{ and } \forall n \in \omega \ \exists m > n \ x(m) = i\}.$$

Then $C_* = A_0 \approx A_1 \approx A_2$. Define A , B and X_* by $A = A_0 \cup A_1 \cup A_2$, $B = 0 \hat{\ } A_1 \cup 1 \hat{\ } A_2 \cup 2 \hat{\ } A_0$ and $X_* = A \cup \bigcup_{s \in P} s \hat{\ } B$. Here $i \hat{\ } A$ and $s \hat{\ } B$ stand for $\{i \hat{\ } x : x \in A\}$ and $\{s \hat{\ } x : x \in B\}$ respectively. We call X_* the *skeleton* of $X = 3^\omega$. Since $C_* \approx A \approx B \approx s \hat{\ } B$ and each of these sets is closed with respect to $\tau_{3,1}$ we can conclude that the skeleton X_* is a disjoint union of countably many, closed Sorgenfrey lines. Summarizing what we have obtained, we get the following theorem. Recall the fact that every ccc monotonically normal space is hereditary Lindelöf [13].

Theorem 1.3. $X = (3^\omega, \tau_{3,1})$ is a 0-dimensional, 1st countable, metrizable, homogeneous space such that:

- (1) X is separable and monotonically normal, hence, hereditary Lindelöf.
- (2) X is not acyclic monotonically normal.
- (3) X is clopen-homogeneous and $X \approx X \times \omega$.
- (4) X contains the dense “skeleton” X_* which is the union of countably many, disjoint closed Sorgenfrey lines.

Remark 1.4. Recall that a space Y is called a “ K_0 -space” if every subspace A of Y possesses an operation

$$k_A : (\text{open sets in } A) \rightarrow (\text{open sets in } Y)$$

such that

- (i) $k_A(\emptyset) = \emptyset$;
- (ii) $k_A(U) \cap A = U$ for every open set U in A ;
- (iii) $k_A(U \cap V) = k_A(U) \cap k_A(V)$ for every open sets U, V in A .

Rudin [14] showed that her original example is not K_0 . But we don’t know if our space $(3^\omega, \tau_{3,1})$, which is a closed subspace of her example, is a K_0 -space. This question is related to the Moody and Roscoe conjecture [11] that every monotonically normal K_0 -space is acyclic monotonically normal.

2. New topologies on p^ω

Let p and q be integers such that $0 < q < p$. We will generalize the construction of the topology $\tau_{3,1}$ in Section 1 to define a new topology $\tau_{p,q}$ on the product $p^\omega = \{0, 1, \dots, p-1\}^\omega$. Let $p^{<\omega} = \bigcup_{n \in \omega} p^n$ be the finite sequences of $0, 1, \dots, p-1$. For each $s \in p^{<\omega}$ put $B(s) = \{x \in p^\omega : s \subset x\}$. For each $s = u \hat{\ } i \in p^{<\omega}$ define

$$L_q(s) = \bigcup \{B(u \hat{\ } (i + j)) : 1 \leq j \leq q\},$$

where $+$ stands for the addition modulo p . We make a convention that $L_q(\emptyset) = \emptyset$. For each $x \in p^\omega$ and $s \in p^{<\omega}$ with $s \subset x$, define

$$L_q(x; s) = \{x\} \cup \bigcup \{L_q(t) : t \in p^{<\omega}, s \subseteq t \subset x\} \quad \text{and} \quad L_q(x) = L_q(x; \emptyset).$$

Put

$$\mathbb{L}_{p,q} = \{L_q(x; s) : x \in p^\omega, s \in p^{<\omega}, s \subset x\} = \{L_q(x; x \upharpoonright m) : x \in p^\omega, m \in \omega\}.$$

We denote by $\tau_{p,q}$ the topology generated by the collection $\mathbb{L}_{p,q}$. It is clear that $\tau_{p,q}$ for $p = 3, q = 1$ is just the topology considered in Section 1. Let us denote by τ_p the standard Cantor product topology on p^ω generated by $B(s)$ ($s \in p^{<\omega}$). Note that $\tau_{p,p-1} = \tau_p$ and hence our interest is on the case $1 \leq q < p-1$. It is easy to see that the collection $\mathbb{L}_{p,q}$ forms a clopen base for $\tau_{p,q}$ and that each neighborhood $L_q(x; s)$ contains a clopen set homeomorphic to the whole space $(p^\omega, \tau_{p,q})$. Hence, by the same way as in Section 1, we know

Proposition 2.1. $X = (p^\omega, \tau_{p,q})$ is 1st countable, separable, metrizable, 0-dimensional Hausdorff and homogeneous. Moreover, X is clopen-homogeneous and $X \times \omega \approx X$.

The shaded portion of Fig. 2 shows what a neighborhood of the point $x = (0, 2, 1, \dots) \in 4^\omega$ looks like with respect to $\tau_{4,2}$. As is well known, all spaces (p^ω, τ_p) ,

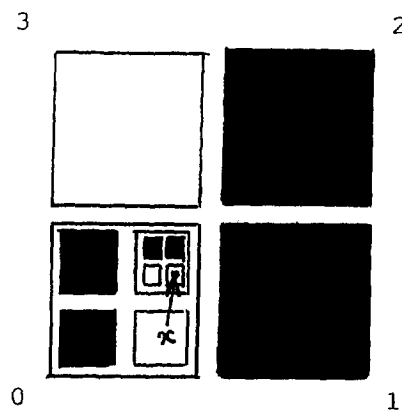


Fig. 2.

where $2 \leq p \in \omega$, are homeomorphic to the Cantor space $(2^\omega, \tau_2)$. Therefore, it is natural to ask if our spaces $(p^\omega, \tau_{p,q})$, where $1 \leq q < p - 1$, are topologically distinct. A bit amazingly we can show that they are quite distinct by checking the existence of various cycles; but we could not succeed in classifying them completely into topologically distinct spaces (see Theorem 2.7 and Remark 2.8). Let us first define the “standard” monotone operator $H_{p,q}$ for $(p^\omega, \tau_{p,q})$ and investigate its properties. For every (x, U) with $x \in p^\omega$ and $x \in U \in \tau_{p,q}$, let $H_{p,q}(x, U)$ be the maximal $L_q(x; s)$ contained in U . It is clear that this $H_{p,q}$ is monotone, and that $H_{3,1}$ in Section 1 is the special case of $p = 3$, $q = 1$. For a real number x we use the symbol $\lfloor x \rfloor$ to denote the integer n such that $n \leq x < n + 1$, while the symbol $\lceil x \rceil$ denotes the integer m such that $m - 1 < x \leq m$.

Lemma 2.2. *Let n and p be natural numbers such that $2 \leq n < p$.*

(1) *$\lfloor (1 - 1/n)p \rfloor$ is equal to $p - \alpha$ if $p = n \cdot \alpha$ for some $\alpha \in \omega$, while, is equal to $p - \alpha - 1$ if $p = n \cdot \alpha + j$ for some $\alpha, j \in \omega$ with $0 < j < n$.*

(2) *If $1 \leq q < p - 1$, then the condition $\lfloor (1 - 1/n)p \rfloor \leq q$ is equivalent with $n < p/(p - q - 1)$. In particular, $\lfloor (1 - 1/n)p \rfloor \leq p - 2$.*

(3) *$\lfloor (1 - 1/n)p \rfloor$ is equal to $\max\{d_0 + d_1 + \cdots + d_{n-2}\}$ where max is taken with respect to all the partitions of p such that*

$$p = d_0 + d_1 + \cdots + d_{n-2} + d_{n-1}; \quad 1 \leq d_0 \leq d_1 \leq \cdots \leq d_{n-2} \leq d_{n-1}.$$

(The last condition can obviously be weakened to $1 \leq d_0, d_1, \dots, d_{n-2} \leq d_{n-1}$.)

Proof. (1) and (2) are easy. Let us prove (3). Denote by M the maximum value considered in the above (3). In case $p = n \cdot \alpha$ for some $\alpha \in \omega$, the maximum value M is attained by the partition $p = n \cdot \alpha = \alpha + \alpha + \cdots + \alpha$. Hence $M = (n - 1)\alpha = p - \alpha$. In case $p = n \cdot \alpha + j$, $0 < j < n$, the maximum value M is attained by the partition $p = (n - j)\alpha + j(\alpha + 1) = \alpha + \cdots + \alpha + (\alpha + 1) + \cdots + (\alpha + 1)$. Hence $M = p - (\alpha + 1)$. Thus the calculation (1) leads to (3). \square

The next result generalizes Rudin’s result 1.0(1).

Theorem 2.3. *Let $2 \leq n < p$. If $\lfloor (1 - 1/n)p \rfloor \leq q < p - 1$, then $H_{p,q}$ does not have any n -cycle.*

Proof. Take any n distinct points $\{x_i\}_{i < n}$ in $X = p^\omega$. We need to show that

$$\bigcap_{i < n} H_{p,q}(x_i, X \setminus \{x_{i-1}\}) = \emptyset, \quad \text{where } x_{-1} = x_{n-1}. \quad (\text{I})$$

Put $A = \{x_i\}_{i < n}$. Take the minimal $B(s)$ that contains A , and let j_0, j_1, \dots, j_{m-1} ($2 \leq m \leq n$) be the listing of all the distinct $0 \leq j < p$ such that $A \cap B(s^{\wedge} j) \neq \emptyset$. Arrange this listing as

$$j_0 + d_0 = j_1, \quad j_1 + d_1 = j_2, \quad \dots, \quad j_{m-1} + d_{m-1} = j_0$$

where $1 \leq d_0, d_1, \dots, d_{m-2} \leq d_{m-1}$; recall that $+$ means the addition modulo p . Put $s^*j_0 = t$, and choose $\alpha, \beta < n$ such that

$$x_\alpha, x_{\beta-1} \in B(t) \quad \text{and} \quad x_{\alpha-1}, x_\beta \notin B(t).$$

To prove (I), it suffices to show that

$$H_{p,q}(x_\alpha, X \setminus \{x_{\alpha-1}\}) \cap H_{p,q}(x_\beta, X \setminus \{x_{\beta-1}\}) = \emptyset. \quad (\text{II})$$

By Lemma 2.2(3) we have $d_0 + \dots + d_{m-2} \leq \lfloor (1 - 1/m)p \rfloor \leq \lfloor (1 - 1/n)p \rfloor$. Hence our condition $\lfloor (1 - 1/n)p \rfloor \leq q$ implies $d_0 + \dots + d_{m-2} \leq q$. Consequently we have $x_{\alpha-1} \in L_q(x_\alpha; t)$. Therefore the definition of $H_{p,q}$ implies

$$H_{p,q}(x_\alpha, X \setminus \{x_{\alpha-1}\}) \subseteq B(t).$$

On the other hand, the conditions $x_\beta \notin B(t)$, $x_{\beta-1} \in B(t)$ imply

$$H_{p,q}(x_\beta, X \setminus \{x_{\beta-1}\}) \cap B(t) = \emptyset.$$

Thus we get (II). \square

Corollary 2.4. *If $\lfloor p/2 \rfloor \leq q < p-1$, then $H_{p,q}$ does not have any 2-cycle; consequently, $(p^\omega, \tau_{p,q})$ is monotonically normal.*

This corollary tells, for example, that $\tau_{3,1}$, $\tau_{4,2}$, $\tau_{5,2}$ and $\tau_{5,3}$ are monotonically normal. The next theorem generalizes Rudin's result 1.0(2), showing that no $\tau_{p,q}$ is acyclic monotonically normal.

Theorem 2.5. *Let $1 \leq q < p-1$, and let G be an arbitrary monotone operator for $(p^\omega, \tau_{p,q})$. Then G has a p -cycle $x_0, x_1, \dots, x_{p-1} \in p^\omega$ satisfying*

$$L_q(t) \subseteq \bigcap_{i < p} G(x_i, L_q(x_i)) \quad \text{and} \quad x_i \in B(t^*i) \quad (i < p) \quad (*)$$

for some $\emptyset \neq t \in p^{<\omega}$.

Proof. Put $X = p^\omega$. Note first that the above condition $(*)$ implies that $\{x_i\}_{i < p}$ forms a p -cycle for G . Indeed, the conditions $q < p-1$ and $x_i \in B(t^*i)$ imply that $L_q(x_i)$ is included in $X \setminus \{x_{i-1}\}$ (note $x_{-1} = x_{p-1}$). Hence, by the monotonicity of G , we have

$$G(x_i, L_q(x_i)) \subseteq G(x_i, X \setminus \{x_{i-1}\}).$$

Consequently $(*)$ implies $\bigcap_{i < p} G(x_i, X \setminus \{x_{i-1}\}) \neq \emptyset$.

Now we show how to choose the points x_i ($i < p$) with $(*)$. Let $x \in X$ be an arbitrary point. Since $G(x, L_q(x))$ is a neighborhood of x with respect to $\tau_{p,q}$, we can choose $\varphi(x) \in p^{<\omega}$ such that

$$\varphi(x) \subset x \quad \text{and} \quad L_q(x; \varphi(x)) \subseteq G(x, L_q(x)).$$

Consider the mapping $\varphi: X \rightarrow p^{<\omega}$. Then

$$X = \bigcup \{ \varphi^{-1}(s) : s \in p^{<\omega} \}, \quad \varphi^{-1}(s) \subseteq B(s).$$

Since $p^{<\omega}$ is countable and the space X with the topology τ_p is homeomorphic with the Cantor space, we can apply the Baire Category Theorem to find $u \in p^{<\omega}$ such that $\text{int cl } \varphi^{-1}(u) \neq \emptyset$ with respect to τ_p , that is, there exists $\emptyset \neq t \in p^{<\omega}$ with

$$B(t) \subseteq \text{cl } \varphi^{-1}(u) \subseteq B(u) \quad (\text{"cl" is with respect to } \tau_p).$$

Since $B(t \hat{i}) \subseteq \text{cl } \varphi^{-1}(u)$ for each $i < p$, we can select $x_i \in B(t \hat{i}) \cap \varphi^{-1}(u)$. The condition $x_i \in \varphi^{-1}(u)$ implies $L_q(x_i; u) \subseteq G(x_i; L_q(x_i))$. Hence

$$L_q(t) \subseteq L_q(x_i; t) \subseteq L_q(x_i; u) \subseteq G(x_i; L_q(x_i)),$$

which shows $L_q(t) \subseteq \bigcap_{i < p} G(x_i; L_q(x_i))$. \square

Comparing 2.3 and 2.5, we know that a large cycle does not always contain a small subcycle. As for subcycle of the p -cycle in 2.5 we can assert the following.

Lemma 2.6. *Let $2 \leq n < p$ and let everything be as in 2.5. If $q < \lfloor (1 - 1/n)p \rfloor$, then the p -cycle $\{x_i\}_{i < p}$ in 2.5 contains an n -cycle.*

Proof. Let $p = n\alpha + j$ where $\alpha, j \in \omega$ and $0 \leq j < n$. Note $\alpha \geq 1$ since $n < p$. Partition p into n blocks according to the formula

$$p = (n - j)\alpha + j(\alpha + 1) = \alpha + \cdots + \alpha + (\alpha + 1) + \cdots + (\alpha + 1).$$

Choose the first number from each block and list all of them as $i(0) < i(1) < \cdots < i(n-1)$. Put $x_{i(k)} = y_k$. We show that $\{y_k\}_{k < n}$ forms an n -cycle for G . Our condition $q < \lfloor (1 - 1/n)p \rfloor$ with 2.2(1) implies that $q < p - \alpha$ if $j = 0$, while $q < p - (\alpha + 1)$ if $j > 0$. Hence $L_q(y_k) \subseteq X \setminus \{y_{k-1}\}$ for each $k < n$, where $y_{-1} = y_{n-1}$. Therefore, by the (\star) in 2.5 we get

$$L_q(t) \subseteq \bigcap_{k < n} G(y_k, L_q(y_k)) \subseteq \bigcap_{k < n} G(y_k, X \setminus \{y_{k-1}\}),$$

showing that $\{y_k\}_{k < n}$ forms an n -cycle. \square

Define the *cyclicity number* $\text{Cyc}(\tau_{p,q})$ of $\tau_{p,q}$ as the minimal number $2 \leq k \in \omega$ such that every monotone operator for $\tau_{p,q}$ has a k -cycle. Assembling the hitherto results we can completely determine $\text{Cyc}(\tau_{p,q})$ (see Theorem 2.7). Note that $\tau_{p,q}$ is monotonically normal iff it has a monotone operator without any 2-cycle, that is, $\text{Cyc}(\tau_{p,q}) \geq 3$. Theorem 2.5 shows that $2 \leq \text{Cyc}(\tau_{p,q}) \leq p$. Theorem 2.3 combined with 2.2(2) implies that if $n < p/(p-q-1)$ then $\text{Cyc}(\tau_{p,q}) > n$. On the other hand, 2.6 with 2.2(2) shows that if $n \geq p/(p-q-1)$ then $\text{Cyc}(\tau_{p,q}) \leq n$. Thus we get the following conclusion. Recall that for a real number x the symbol $\lceil x \rceil$ means the integer m such that $m-1 < x \leq m$.

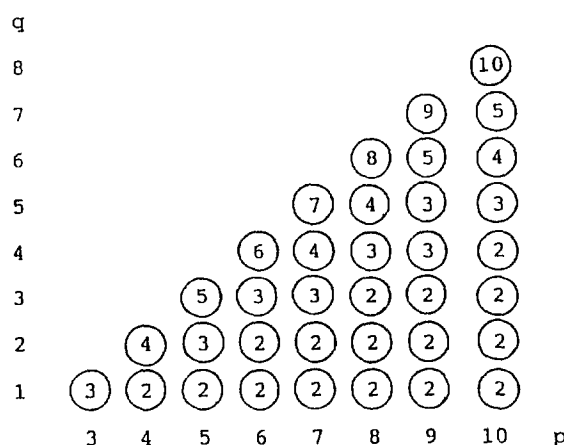


Diagram 1.

Theorem 2.7. Let $1 \leq q < p - 1$. Then

$$\text{Cyc}(\tau_{p,q}) = \lceil p/(p-q-1) \rceil.$$

For example $\text{Cyc}(\tau_{p,p-2}) = p$ for $p \geq 3$, and $\text{Cyc}(\tau_{p,p-3}) = \lceil p/2 \rceil$ for $p \geq 4$. Diagram 1 shows the results of calculations of $\text{Cyc}(\tau_{p,q})$ for $p \leq 10$.

Note that $\lceil p/(p-q-1) \rceil \geq 3$ iff $(p-1)/2 \leq q$ iff $\lfloor p/2 \rfloor \leq q$. Therefore we get the following corollary which strengthens 2.4 and characterizes the monotonical normality of $\tau_{p,q}$.

Corollary 2.8. Let $1 \leq q < p - 1$. The topology $\tau_{p,q}$ is monotically normal iff $\lfloor p/2 \rfloor \leq q$ iff $(p-1)/2 \leq q$.

From this corollary we know that, if $q < \lfloor p/2 \rfloor$, $\tau_{p,q}$ is not monotically normal. But in this case we can even assert that $\tau_{p,q}$ is not normal:

Proposition 2.9. Let $1 \leq q < \lfloor p/2 \rfloor$. Then $\tau_{p,q}$ contains a closed discrete subspace of cardinality of the continuum. Hence $\tau_{p,q}$, being separable, is not normal.

Proof. Put $q+1 = d$. Define D to be a subset of p^ω consisting of points x such that $x(n) = 0$ or d for all $n \in \omega$. Since D is closed with respect to the Cantor topology τ_p on p^ω , it is also closed with respect to $\tau_{p,q}$. So, we need only show that D is discrete with respect to $\tau_{p,q}$. Take any $x \in D$ and consider its neighborhood

$$L_q(x) = \{x\} \cup \bigcup \{L_q(s) : s \in p^{<\omega}, \emptyset \neq s \subset x\}.$$

It suffices to show $L_q(x) \cap D = \{x\}$. Let $s \in p^{<\omega}$ be such that $\emptyset \neq s \subset x$. Then $s = t \hat{\ } i$ where $i = 0$ or d . Our condition $1 \leq q < \lfloor p/2 \rfloor$ implies $q < d < d + q < p$. Therefore we have

$$L_q(s) \cap (B(t \hat{\ } 0) \cup B(t \hat{\ } d)) = \emptyset.$$

Since $L_q(s) \subseteq B(t)$ and $D \cap B(t) \subseteq B(t \hat{\ } 0) \cup B(t \hat{\ } d)$, we get $L_q(s) \cap D = \emptyset$. Thus we conclude that $L_q(x) \cap D = \{x\}$. \square

Remark 2.10. Since the cyclicity number is a topological index, spaces with different cyclicity numbers are not homeomorphic. For example, $(3^\omega, \tau_{3,1})$, $(4^\omega, \tau_{4,2})$ and $(5^\omega, \tau_{5,3})$ are not homeomorphic each other. But to distinguish all $\tau_{p,q}$, $(1 \leq q < p-1)$ completely, the cyclicity number itself is not enough. For instance we don't know yet if $(3^\omega, \tau_{3,1})$ is homeomorphic with $(5^\omega, \tau_{5,2})$ as they have the same cyclicity number 3.

3. Generalization

Let A be a nonempty subset of $\{1, 2, \dots, p-1\}$. Then we can define a topology $\tau_p(A)$ on p^ω as follows. For $s = t \hat{\ } i \in p^{<\omega}$ put

$$L_A(s) = \bigcup \{B(t \hat{\ } (i+j)) : j \in A\},$$

where $+$ means the addition modulo p . For $s = \emptyset$ we put $L_A(s) = \emptyset$. For $x \in p^\omega$ and $s \in p^{<\omega}$ with $s \subset x$, we define

$$L_A(x; s) = \{x\} \cup \bigcup \{L_A(t) : t \in p^{<\omega}, s \subseteq t \subset x\} \quad \text{and} \quad L_A(x) = L_A(x; \emptyset).$$

We denote by $\tau_p(A)$ the topology generated by all sets of the form $L_A(x; s)$. Note that $\tau_{p,q}$ in Section 2 is just the case $A = \{1, 2, \dots, q\}$. It is easy to see that conclusions of 2.1 remain intact for our general space $(p^\omega, \tau_p(A))$. Consider the natural group structure on p^ω with the additive group operation “ $+$ ” which is induced from the modulo p addition $+$ on p . Then our topology $\tau_p(A)$ can be viewed in a simple way as follows. For any subset $S \subseteq p^\omega$ and a point $x \in p^\omega$, we denote by $S + x$ the subset $\{z + x : z \in S\}$. Then

$$L_A(x; x \upharpoonright m) = L_A(\mathbf{0}; \mathbf{0} \upharpoonright m) + x,$$

where $\mathbf{0} = (0, 0, \dots)$. Therefore the topology $\tau_p(A)$ is completely determined by the neighborhood base $L_A(\mathbf{0}; \mathbf{0} \upharpoonright m)$ ($m \in \omega$) at $\mathbf{0}$ and the translations “ $+$ ” ($x \in p^\omega$). This observation reveals the homogeneous structure of $(p^\omega, \tau_p(A))$. Indeed, for every $x \in p^\omega$ the translation “ $+$ ” is an autohomeomorphism of $(p^\omega, \tau_p(A))$ since

$$\begin{aligned} L_A(y; y \upharpoonright m) + x &= (L_A(\mathbf{0}; \mathbf{0} \upharpoonright m) + y) + x = L_A(\mathbf{0}; \mathbf{0} \upharpoonright m) + (y + x) \\ &= L_A(y + x; (y + x) \upharpoonright m). \end{aligned}$$

Especially, the translation “ $+(x-y)$ ” is an autohomeomorphism which moves the point y to the point x . But note that the operation $+: (x, y) \rightarrow x + y$ is not jointly continuous with respect to $\tau_p(A)$. So, $(p^\omega, \tau_p(A), +)$ would be called a semitopological semigroup

(cf. [3,15]). Let φ be the inverse operation $\varphi(x) = -x$, i.e., $\varphi(x)(n) = p - x(n)$. Though this φ is obviously not continuous with respect to $\tau_p(A)$, it induces a homeomorphism

$$\varphi: (p^\omega, \tau_p(A)) \rightarrow (p^\omega, \tau_p(-A)),$$

where $-A = \{p - i: i \in A\}$. This observation is useful: as a special case, we see

$$\tau_p(\{q + 1, \dots, p - 1\}) \approx \tau_p(\{1, 2, \dots, p - q - 1\}) = \tau_{p, p-q-1}.$$

So, for instance, we have

$$\tau_3(\{2\}) \approx \tau_{3,1} \quad \text{and} \quad \tau_4(\{2, 3\}) \approx \tau_{4,2}.$$

Remark 3.1. When A is a proper subset of $\{1, 2, \dots, p - 1\}$, the pair $(\tau_p(A), \tau_p)$ is what is called a “butterfly pair” in the paper of Burke and van Douwen [2]. In particular, if $1 \leq q \leq p - 2$, then $(\tau_{p,q}, \tau_p)$ is a butterfly pair. We thank the referee for pointing out the source [2].

4. Duplication

We here define a kind of “duplication” to obtain interesting new topological spaces, especially compact spaces. Our method corresponds to the Fedorchuk’s “resolution” that resolves each point into two points (cf. [19]). Suppose a topological space (X, σ) satisfies the following condition:

- (\star) Every point $x \in X$ has a neighborhood $U(x) \in \sigma$ which splits as $U(x) = U^1(x) \cup U^2(x)$, $U^1(x) \cap U^2(x) = \{x\}$, and $U^1(x) \setminus \{x\}$, $U^2(x) \setminus \{x\}$ are both clopen in $(U(x) \setminus \{x\}, \sigma)$.

For $i = 1, 2$ let σ_i be the topology on X generated by $\sigma \cup \{U^i(x): x \in X\}$. We then call this situation that σ_1 and σ_2 are *complementary with respect to* σ . Now make disjoint copies of X :

$$X^+ = \{x^+: x \in X\}, \quad X^- = \{x^-: x \in X\}$$

and put $X^\pm = X^+ \cup X^-$. (Precisely, $X^\pm = X \times \{+1, -1\}$, $X^+ = X \times \{+1\}$, $X^- = X \times \{-1\}$, $x^+ = (x, +1)$, $x^- = (x, -1)$.) For a subset S of X we use the following notations:

$$S^+ = \{x^+: x \in S\}, \quad S^- = \{x^-: x \in S\}, \quad S^\pm = S^+ \cup S^-.$$

In case $x \in S \subseteq X$, we define

$$W(x^+; S) = S^+ \cup (S \setminus \{x\})^- = S^\pm \setminus \{x^-\}, \quad \text{and}$$

$$W(x^-; S) = S^- \cup (S \setminus \{x\})^+ = S^\pm \setminus \{x^+\}.$$

We denote by $\sigma_1 \oplus \sigma_2$ the topology on X^\pm generated by all sets of the form

$$W(x^+; U^1(x) \cap V(x)), \quad W(x^-; U^2(x) \cap V(x)),$$

where $V(x)$ is an arbitrary neighborhood of x in σ and $U^1(x)$, $U^2(x)$ are as in the above (*). We call this topology on X^\pm the *duplicate* topology determined by the complementary topologies σ_1 and σ_2 . Note that the subspaces X^+ , X^- in $(X^\pm, \sigma_1 \oplus \sigma_2)$ are homeomorphic with (X, σ_1) , (X, σ_2) respectively. Note also that $\sigma_1 \oplus \sigma_2$ is Hausdorff because

$$W(x^+; S) \cap W(x^-; T) = \emptyset \quad \text{if } S \cap T = \{x\}.$$

Define the natural 2–1 map

$$\pi: (X^\pm, \sigma_1 \oplus \sigma_2) \rightarrow (X, \sigma)$$

by $\pi^{-1}(x) = \{x^+, x^-\}$, and observe that this is a perfect continuous map. Hence, especially, $(X^\pm, \sigma_1 \oplus \sigma_2)$ is compact if (X, σ) is compact.

Example 4.1. For any space (X, σ) consider the discrete topology σ_d on X . Then σ and σ_d are complementary with respect to σ , and the resultant space $(X^\pm, \sigma \oplus \sigma_d)$ is the one called “Alexandroff duplicate”.

Example 4.2. Let (I, σ) be the unit interval $I = [0, 1]$ with the usual interval topology σ . For $x \in U(x) = I$ consider the splitting as $U^1(x) = [0, x]$, $U^2(x) = [x, 1]$. Then the corresponding topologies σ_1 and σ_2 are both the Sorgenfrey line topology, and the compact space $(I^\pm, \sigma_1 \oplus \sigma_2)$ is the lexicographically ordered space.

Example 4.3. Let X be a subset of the plane \mathbb{R}^2 with the Euclidean subspace topology σ such that

$$X = ([0, 1] \times \{0\}) \cup \{(k/2^n, 1/2^n): k, n \in \omega \text{ and } 0 \leq k \leq 2^n\}.$$

Let $z \in X$. In case $z = (k/2^n, 1/2^n)$, i.e., z is isolated in X , define trivially $U(z) = U^1(z) = U^2(z) = \{z\}$. In case $z = (t, 0)$ for some $0 \leq t \leq 1$, consider the splitting of $U(z) = X$ such that

$$U^1(z) = \{(x, y) \in X: y \leq |x - t|\},$$

$$U^2(z) = \{(x, y) \in X: y > |x - t|\}.$$

Then the corresponding complementary topologies σ_1 and σ_2 are the well known ones: σ_1 is the “Bow-tie” topology and σ_2 is the “Niemytzki” topology. The space $(X^\pm, \sigma_1 \oplus \sigma_2)$ is compact since (X, σ) is compact.

Now we use the spaces in Sections 2 and 3 to make new spaces of the form $(X^\pm, \sigma_1 \oplus \sigma_2)$. Let $X = p^\omega$ and let A_1 and A_2 be disjoint subsets of $\{1, 2, \dots, p-1\}$. Consider the topologies on X such that $\sigma_1 = \tau_p(A_1)$, $\sigma_2 = \tau_p(A_2)$ and $\sigma = \tau_p(A_1 \cup A_2)$. (Let us suppose that $\tau_p(\emptyset)$ means the discrete topology.) Then σ_1 and σ_2 are complementary with respect to σ , and we get the space $((p^\omega)^\pm, \sigma_1 \oplus \sigma_2)$ and the 2–1 map $((p^\omega)^\pm, \sigma_1 \oplus \sigma_2) \rightarrow (p^\omega, \sigma)$. In case $A_1 \cup A_2 = \{1, 2, \dots, p-1\}$, the topology $\sigma = \tau_p$ is compact, and hence

the space $((p^\omega)^\pm, \sigma_1 \oplus \sigma_2)$ is also compact. Especially, if $A_1 = \{1, 2, \dots, q\}$ where $q < p - 1$, we have

$$\sigma_1 = \tau_{p,q} \quad \text{and} \quad \sigma_2 = \tau_p(\{q+1, \dots, p-1\});$$

since this σ_2 is identical with $\tau_{p,p-q-1}$ as noted before in Section 3, we denote $\sigma_1 \oplus \sigma_2$ by $\tau_{p,q} \oplus \tau_{p,p-q-1}$ and denote the duplicate space $((p^\omega)^\pm, \tau_{p,p-q-1})$ simply by $D_{p,q}$. From 2.8 we know that $\tau_{p,q}$ and $\tau_{p,p-q-1}$ are both monotonically normal iff $q = (p-1)/2$. So, in case $q = (p-1)/2$, the space $D_{p,q}$ is a union of two monotonically normal spaces; but unfortunately this space itself is not monotonically normal as the following shows.

Proposition 4.4. *Let $1 \leq q < p - 1$. Every monotone operator on $D_{p,q}$ has a 2-cycle.*

Proof. Put $X = p^\omega$ and let G be an arbitrary monotone operator on $D_{p,q} = ((p^\omega)^\pm, \tau_{p,q} \oplus \tau_{p,p-q-1})$. We show that G has a 2-cycle. Let $x \in X$. For simplicity, we denote $L_A(x; s)$ and $L_A(x)$, where $A = \{q+1, \dots, p-1\}$, by $L_\star(x; s)$ and $L_\star(x)$ respectively. By the definition of the topology $\tau_{p,q} \oplus \tau_{p,p-q-1}$ the neighborhood bases at x^+ and x^- consist of the sets of the form

$$W(x^+; L_q(x; s)) \quad \text{and} \quad W(x^-; L_\star(x; s))$$

respectively. Since the sets $G(x^+, W(x^+; L_q(x)))$ and $G(x^-, W(x^-; L_\star(x)))$ are open neighborhoods of x^+ and x^- respectively, we can choose $\varphi(x) \in p^{<\omega}$ such that

$$W(x^+; L_q(x; \varphi(x))) \subseteq G(x^+; W(x^+; L_q(x))) \quad \text{and}$$

$$W(x^-; L_\star(x; \varphi(x))) \subseteq G(x^-; W(x^-; L_\star(x))).$$

So we get a mapping $\varphi: X \rightarrow p^{<\omega}$. Then by the same argument as in 2.5, we can find $u \not\subseteq t \in p^{<\omega}$ such that $B(t) \subseteq \text{cl } \varphi^{-1}(u) \subseteq B(u)$ where cl is the closure with respect to the Cantor topology τ_p of X . Choose two points $y, z \in X$ such that

$$y \in B(\hat{t}0) \cap \varphi^{-1}(u) \quad \text{and} \quad z \in B(\hat{t}(p-1)) \cap \varphi^{-1}(u).$$

It suffices to show that $\{y^+, z^-\}$ is a 2-cycle for G . Since $(p-1) \dot{+} (q+1) = q$, we have $B(\hat{t}q) \subseteq L_q(\hat{t}0) \cap L_\star(\hat{t}(p-1))$, and so, $B(\hat{t}q) \subseteq L_q(y; u) \cap L_\star(z; u)$. Hence

$$B(\hat{t}q)^\pm \subseteq W(y^+; L_q(y; u)) \cap W(z^-; L_\star(z; u)).$$

Since $\varphi(y) = \varphi(z) = u$, we get

$$B(\hat{t}q)^\pm \subseteq G(y^+, W(y^+; L_q(y))) \cap G(z^-, W(z^-; L_\star(z))).$$

Note that $z \notin L_q(y)$ and $y \notin L_\star(z)$, and so,

$$z^- \notin W(y^+; L_q(y)) \quad \text{and} \quad y^+ \notin W(z^-; L_\star(z)).$$

Hence the monotonicity of G implies

$$G(y^+, W(y^+; L_q(y))) \subseteq G(y^+, X^\pm \setminus \{z^-\}) \quad \text{and}$$

$$G(z^-, W(z^-; L_\star(z))) \subseteq G(z^-, X^\pm \setminus \{y^+\}).$$

Thus we get

$$B(t^*q)^\pm \subseteq G(y^+, X^\pm \setminus \{z^-\}) \cap G(z^-, X^\pm \setminus \{y^+\})$$

which proves that $\{y^+, z^-\}$ is a 2-cycle for G . \square

Let us summarize the properties of the space $D_{p,q}$.

Theorem 4.5. *Let $1 \leq q < p - 1$. Then the duplicate space*

$$D_{p,q} = ((p^\omega)^\pm, \tau_{p,q} \oplus \tau_{p,p-q-1})$$

is a 0-dimensional, separable, 1st countable compact Hausdorff space admitting a 2–1 perfect map onto the Cantor space (p^ω, τ_p) . Though this $D_{p,q}$ is not monotonically normal, in the special case $q = (p - 1)/2$, it becomes a perfectly normal (equivalently, hereditary Lindelöf) homogeneous space.

Proof. We consider the case $q = (p - 1)/2$. Then the topology on $D_{p,q}$ becomes $\tau_{p,q} \oplus \tau_{p,q}$, and hence, is homogeneous. Since $\tau_{p,q}$ is monotonically normal by 2.8, $D_{p,q}$ is the union of two monotonically normal spaces. As noted before 1.3, any ccc monotonically normal space is hereditary Lindelöf [13]. Hence $D_{p,q}$ is hereditary Lindelöf. \square

Considering the special case $p \leq 10$, we get perfectly normal compact spaces $D_{3,1}$, $D_{5,2}$, $D_{7,3}$ and $D_{9,4}$ each of which is a union of two monotonically normal spaces. We don't know if these spaces are topologically distinct; for instance, $D_{3,1} \approx D_{5,2}$? It should be recalled that we don't even know if $\tau_{3,1} \approx \tau_{5,2}$; see Remark 2.10. Let L be the lexicographically ordered compact space in Example 4.2, let C denote the Cantor space, and define \mathbb{C} to be a class of all spaces which are continuous images of some closed subspaces of $L \times C$. D.H. Fremlin asked (cf. [7]) if it is consistent with ZFC that every perfectly normal compact space belongs to \mathbb{C} , and Watson and Weiss [18] gave a ZFC counterexample. We don't know if our perfectly normal compacta $D_{p,q}$ where $q = (p - 1)/2$ belong to the class \mathbb{C} ; we conjecture they don't.

5. Another topology on the Sierpinski gasket

Let us consider the Sierpinski gasket (or Sierpinski triangle) S in the plane \mathbb{R}^2 , which is defined to be a self-similar set in the complex plane determined by the three maps f_0 , f_1 and f_2 such that $f_0(z) = z/2$, $f_1(z) = (z + 1)/2$ and $f_2(z) = z/2 + (1 + i\sqrt{3})/4$. Let $\pi: 3^\omega \rightarrow S$ be the canonical, at most 2–1 map onto S . Let $\sigma_{3,1}$ be the quotient topology on S obtained from $(3^\omega, \tau_{3,1})$ by the map π . Note that $|\pi^{-1}(x)| \leq 2$ for every $x \in S$ and that $|\pi^{-1}(x)| = 2$ for only countably many x 's. For $x \in S$ let $L[x; n]$ denote the set

$$\pi \left[\bigcup \{L(y; y \upharpoonright n): y \in \pi^{-1}(x)\} \right].$$

Using Arhangel'skii's terminology [1] we can say that all sets of the form $L[x; n]$ ($x \in S$, $n \in \omega$) form a "weak base" for the topology $\sigma_{3,1}$. Put $\mathbb{F}(x) = \{L[x; n]: n \in \omega\}$ and $\mathbb{F} = \langle \mathbb{F}(x): x \in S \rangle$. Then an open base for $\sigma_{3,1}$ is described as follows: Let φ be an arbitrary section of \mathbb{F} , that is, $\varphi(x) \in \mathbb{F}(x)$ for each $x \in S = \text{dom}(\varphi)$. Define inductively

$$\Sigma_0(x, \varphi) = \{x\}, \quad \Sigma_n(x, \varphi) = \bigcup \{\varphi(y): y \in \Sigma_{n-1}(x, \varphi)\}$$

and put $\Sigma(x, \varphi) = \bigcup_{n \in \omega} \Sigma_n(x, \varphi)$. Then the sets of the form $\Sigma(x, \varphi)$ where $x \in S$, and φ ranges over all sections of \mathbb{F} , become a base for $\sigma_{3,1}$. Notice that our topology $\sigma_{3,1}$ is Hausdorff, since it is finer than the Cantor topology τ_3 . But K. Eda [5] recently proved that $\sigma_{3,1}$ is not regular. Though this fact seems to be a slight defect, this nonregular, Hausdorff topology $\sigma_{3,1}$ looks quite natural as a new topology on the Sierpinski gasket. By a similar way we can consider quotient topologies $\sigma_{8,q}$ on the Sierpinski carpet induced from $(8^\omega, \tau_{8,q})$ where $1 \leq q \leq 6$, while, quotient topologies $\sigma_{20,q}$ on the Menger sponge induced from $(20^\omega, \tau_{20,q})$ where $1 \leq q \leq 18$. Investigation of these new topologies will be our future task.

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